

CODES Section 2: Family and Household Roster

Q2: Relationship to Respondent: What is (NAME)'s relationship to you?

- 1 = Respondent
- 2 = Wife/husband
- 3 = Son/daughter
- 4 = Father/mother
- 5 = Grandchild
- 6 = Grandparent
- 7 = Mother/father-in-law
- 8 = Son/daughter-in-law
- 9 = Brother/sister-in-law
- 10 = Paternal aunt/uncle
- 11 = Maternal aunt/uncle
- 12 = Sister/brother
- 13 = Cousin
- 14 = Nephew/niece
- 15 = Step-child/half-brother/sister
- 16 = Co-wife
- 17 = Boyfriend/Girlfriend, including PTM
- 18 = Other not related through blood or marriage (friends)
- 19 = Step-mother/step-father
- 99 = Don't know

Q3: Is (NAME) male or female?

- 1 = Male
- 2 = Female

Q4: Is (NAME) alive? If (NAME) is dead, when did he/she die?

- 1 = Alive
- 2 = No, (name) died during the last year
- 3 = No, (name) died during the last 2 years
- 4 = No, (name) died during the last 5 years
- 5 = No, (name) died more than 5 years ago

If (NAME) is dead, strike out Q5 –

Q16; do not ask Q5 – Q16 for persons who have died.

Q5: How old is (NAME)? OR, in what year was (NAME) born?

[write age or birth year]

Circle age or birth year
DK = 9999

Q6: Where does (NAME) usually live?

- 1 = Same household
- 2 = Same compound
- 3 = Same village
- 4 = Same TA
- 5 = Same district
- 6 = Lilongwe
- 7 = Blantyre
- 8 = Elsewhere

Q7: Did (NAME) sleep here last night?

- 0 = No
- 1 = Yes

Q8: When did (NAME) move to this place?

- (note: ask only if Q6= 1 or Q6=2)
- 0 = Since Birth
 - 1 = Last 6 months
 - 2 = Last year
 - 3 = Last 2 years
 - 4 = Last 5 years
 - 5 = More than 5 years ago

Q9: Has (NAME) been ill in the past 12 months?

IF YES: For how long?

- 0 = No
- 1 = Yes, for less than a month
- 2 = Yes, for 1 to 3 months
- 3 = Yes, 3 to 6 months
- 4 = Yes for 6 months or longer
- 9 = Don't know

Q10: How would you rate (NAME)'s health in general?

Interviewer: read responses

- 1 = Excellent
- 2 = Very good
- 3 = Good
- 4 = Poor
- 5 = Very poor
- 9 = DK

Q11: How would you compare (NAME)'s health to other people in your village who are the same age and sex?

- 1 = Much better
- 2 = Better
- 3 = Same
- 4 = Worse
- 5 = Much worse
- 9 = DK

Q12: IF AGE > 10: What is (NAME)'s current marital status?

- 1 = Currently married
- 2 = Separated
- 3 = Divorced
- 4 = Widowed
- 5 = Never married
- 9 = Don't know

IF MARRIED: To another household or family member
WRITE LINE ID OF SPOUSE, OR WRITE '99' IF SPOUSE DOES NOT LIVE IN HOUSEHOLD

Q13: IF AGE > 5: What is the highest level of schooling (NAME) attended?

- 0 = Never attended school
- 1 = Finished school, Standard
- 2 = Finished school, Form
- 3 = Finished school, Higher
- 4 = Still in school, Standard
- 5 = Still in school, Form
- 6 = Still in school, Higher
- 99 = DK/CR

Q14: How many grades (in years) did (NAME) complete at that level?

[enter number of years]
99 = DK/CR

Q15: IF AGE > 15: What is (NAME)'s main way of earning money?

- 1 = Agricultural worker (incl. animal care), own field
- 2 = Agricultural wage-labor, for cash or in kind
- 3 = Salaried employment
- 4 = Marketing work / sales
- 5 = Zaluso (handicraft production e.g. basket/ mat weaving)
- 6 = Alcohol production
- 7 = Carpentry
- 8 = Other cash activity
- 9 = Domestic activities
- 10 = Student
- 11 = Fishing
- 66 = Never worked, seeking work
- 77 = Never worked, not seeking work
- 88 = Other
- 99 = Don't know

Q16: Is this person in "Let's Chat"?

- 1 = Yes
- 0 = No
- 99 = Don't know

CODES Section 3: Transfers and Intergenerational Relations

T0: Is (NAME) a child below age 15 that usually lives in this household?

No...0
Yes...1

If yes, strike out the line and do not ask Questions T1-T10

T1: Have you given (NAME) any money or financial assistance? If YES, approximately how much in total?

0 = No →GO TO T3
1 = Yes, a little
2 = Yes, some
3 = Yes, a lot
9 = DK →GO TO T3

T2: Do you expect that (NAME) will repay you this money?

0 = No
1 = Yes
9 = DK

T3: In the past two years, have you given (NAME) any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.

If YES, how often did you help (NAME)?

0 = No→GO TO T5
1 = Yes, once
2 = Yes, several times a year
3 = Yes, at least once a month
4 = Yes, at least once a week
5 = Yes, daily
9 = DK →GO TO T5

T4: What type of help did you give?

1 = Farm production
2 = Collect firewood
3 = Collect water
4 = Cooking
5 = Building or maintenance
6 = Caregiving
7 = Other
9 = DK

LIST UP TO TWO

T5: Did you help (NAME) because he/she was in poor health?

0 = No
1 = Yes
9 = DK

T6: Has (NAME) given you any money or financial assistance? If YES, approximately how much in total?

0 = No →GO TO T8
1 = Yes, a little
2 = Yes, some
3 = Yes, a lot
9 = DK →GO TO T8

T7: Do you expect to repay (NAME)?

0 = No
1 = Yes
9 = DK

T8: In the past two years, has (NAME) given you any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.

0 = No →GO TO T10
1 = Yes, once
2 = Yes, several times a year
3 = Yes, at least once a month
4 = Yes, at least once a week
5 = Yes, daily
9 = DK →GO TO T10

T9: What type of help did (NAME) give you?

1 = Farm production
2 = Collect firewood
3 = Collect water
4 = Cooking
5 = Building or maintenance
6 = Caregiving
7 = Other
9 = DK

LIST UP TO TWO

T10: Did (NAME) help you because you or someone in your household was in poor health?

0 = No
1 = Yes
9 = DK

CODES Section 4: Investment in Children's Education

D3: Relationship to Respondent: What is (NAME)'s relationship to you?

- 1 = Respondent
- 2 = Wife/husband
- 3 = Son/daughter
- 4 = Father/mother
- 5 = Grandchild
- 6 = Grandparent
- 7 = Mother/father-in-law
- 8 = Son/daughter-in-law
- 9 = Brother/sister-in-law
- 10 = Paternal aunt/uncle
- 11= Maternal aunt/uncle
- 12 = Sister/brother
- 13 = Cousin
- 14 = Nephew/niece
- 15 = Step-child/half-brother/sister
- 16 = Co-wife
- 17 =Boyfriend/Girlfriend, including PTM
- 18 = Other not related through blood or marriage (friends)
- 19= Step-mother/step-father
- 99 = Don't know

D4: Where does (NAME) usually stay?

- 1 = Same household
- 2 = Same compound
- 3 = Same village
- 4 = Same TA
- 5 = Same district
- 6 = Lilongwe
- 7 = Blantyre
- 8 = Elsewhere

D5: Who does (NAME) live with?

- 1 = Respondent
- 2 = Wife/husband
- 3 = Son/daughter
- 4 = Father/mother
- 5 = Grandchild
- 6 = Grandparent
- 7 = Mother/father-in-law
- 8 = Son/daughter-in-law
- 9 = Brother/sister-in-law
- 10 = Paternal aunt/uncle
- 11= Maternal aunt/uncle
- 12 = Sister/brother
- 13 = Cousin
- 14 = Nephew/niece
- 15 = Step-child/half-brother/sister
- 16 = Co-wife
- 17 =Boyfriend/Girlfriend, including PTM
- 18 = Other not related through blood or marriage (friends)
- 19= Step-mother/step-father
- 99 = Don't know

D6: Why does (NAME) live elsewhere?

- 1 To attend school,
- 2 Parents divorced,
- 3 Other HH needed help
- 4 Other HH wealthier
- 5 Married
- 6 Other

D7: Is (NAME) currently in school?

- 1=Yes
- 0=No

D8: Why did (NAME) leave school?

- 1=Lack money
- 2=Lack interest
- 3=Lack ability
- 4=Pregnancy
- 5=Ready for marriage/sexually active
- 6= Sick
- 7= Too far
- 8=Other
- 9 = Never enrolled in school

D9 & D10 What is the line number of (NAME)'s biological mother and father?

Interviewer: check the family roster in section 2 for line numbers of biological mother and father.

- 77=Dead
- 88=Lives elsewhere
- 99=DK

D13 When you are very old do you expect this child to provide you with:

- 1= Monetary help
- 2= A place to live
- 3= Medical expenses
- 4= Food
- 5= Other
- 6= Nothing

Codes for Section 5: Economic Shocks

ES7, ES8, ES9

What did you do in response to this shock to try to deal with this shock?

- 1 Spent cash savings
- 2 Send children to live with relatives
- 3 Sold assets (tools, furniture)
- 4 Sold farmland
- 5 Rented out farmland
- 6 Sold animals
- 7 Sold more crops
- 8 Worked longer hours, worked more
- 9 Other household members who weren't working went to work
- 10 Started a new business
- 11 Removed children from school to work
- 12 Went elsewhere to find work
- 13 Borrowed money from relatives
- 14 Borrowed money from money lender
- 15 Borrowed money from institution (bank, government)
- 16 Received money from church or congregational group
- 17 Received help from an NGO
- 18 Received help from a community group
- 19 Received help from the government
- 20 Reduced food consumption
- 21 Reduced non-food expenditures
- 22 Spiritual effort- prayer, sacrifices
- 23 Other relative/friend moved into household
- 24 Did not do anything
- 25 Other (specify) _____

CODES Section 6: Potential Transfers
Roster

**PT1 Relationship to Respondent:
What is (NAME)'s relationship
to you?**

- 2 = Wife/husband
- 3 = Son/daughter
- 4 = Father/mother
- 5 = Grandchild
- 6 = Grandparent
- 7 = Mother/father-in-law
- 8 = Son/daughter-in-law
- 9 = Brother/sister-in-law
- 10 = Paternal aunt/uncle
- 11 = Maternal aunt/uncle
- 12 = Sister/brother
- 13 = Cousin
- 14 = nephew/niece
- 15 = Step-child/half-brother/sister
- 16 = Co-wife
- 17 = Boyfriend/Girlfriend, including
PTM
- 18 = Community leader
- 19 = Traditional authority
- 20 = Church leader
- 21 = Other not related through
blood or marriage
- 99 = Don't know

**PT2 How old is (NAME)? OR, in
what year was (NAME) born?**

**PT3 Where does (NAME) usually
stay?**

- 1 = Same household
- 2 = Same compound
- 3 = Same village
- 4 = Same TA
- 5 = Same district
- 6 = Lilongwe
- 7 = Blantyre
- 8 = Elsewhere

PT4 How often do you see (NAME)?

- 1 = Daily
- 2 = At least once a week
- 3 = At least once a month
- 4 = Several times per year
- 5 = Once per year
- 6 = Not every year

**PT5 How does the wealth of
(NAME's) household compare
to your household?**

- 1 = Wealthier
- 2 = Less wealthy
- 3 = About the same
- 9 = Don't know

**PT6 What is (NAME)'s main way of
earning money?**

- 1 = Agricultural worker (incl. animal
care), own field
- 2 = Agricultural wage-labor, for cash
or in kind
- 3 = Salaried employment
- 4 = Marketing work / sales
- 5 = Zaluso (handicraft production
e.g. basket/ mat weaving)
- 6 = Alcohol production
- 7 = Carpentry
- 8 = Other cash activity
- 9 = Domestic activities
- 10 = Student
- 11 = Fishing
- 66 = Never worked, seeking work
- 77 = Never worked, not seeking
work
- 88 = Other
- 99 = Don't know

**PT7 Does (NAME) attend the same
religious congregation you do?**

- 1 = Yes
- 0 = No
- 9 = Don't know

PT8 Is this person in Let's Chat?

- 1 = Yes
- 0 = No
- 9 = Don't know

CODES Section 7: Actual Transfers
Roster

AT1: Have you given (NAME) any money in the past two years? If YES, approximately how much in total?

- 0 = No →GO TO AT3
- 1 = Yes, a little
- 2 = Yes, some
- 3 = Yes, a lot
- 9 = DK →GO TO AT3

AT2: Do you expect that (NAME) will repay you this money?

- 0 = No
- 1 = Yes
- 9 = DK

AT3: In the past two years, have you given (NAME) any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming. If YES, how often did you help (NAME)?

- 0 = No→GO TO AT5
- 1 = Yes, once
- 2 = Yes, several times a year
- 3 = Yes, at least once a month
- 4 = Yes, at least once a week
- 5 = Yes, daily
- 9 = DK →GO TO AT5

AT4: What type of help did you give?

- 1 = Farm production
- 2 = Collect firewood
- 3 = Collect water
- 4 = Cooking
- 5 = Building or maintenance
- 6 = Caregiving
- 7 = Other
- 9 = DK

LIST UP TO TWO

AT5: Did you help (NAME) because he/she was in poor health?

- 0 = No
- 1 = Yes
- 9 = DK

AT6: Has (NAME) given you any money or financial assistance? If YES, approximately how much in total?

- 0 = No →GO TO AT8
- 1 = Yes, a little
- 2 = Yes, some
- 3 = Yes, a lot
- 9 = DK →GO TO AT8

AT7: Do you expect to repay (NAME)?

- 0 = No
- 1 = Yes
- 9 = DK

AT8: In the past two years, has (NAME) given you any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.

- 0 = No →GO TO AT10
- 1 = Yes, once
- 2 = Yes, several times a year
- 3 = Yes, at least once a month
- 4 = Yes, at least once a week
- 5 = Yes, daily
- 9 = DK →GO TO AT10

AT9: What type of help did (NAME) give you?

- 1 = Farm production
- 2 = Collect firewood
- 3 = Collect water
- 4 = Cooking
- 5 = Building or maintenance
- 6 = Caregiving
- 7 = Other
- 9 = DK

LIST UP TO TWO

AT10: Did (NAME) help you because you or someone in your household was in poor health?

- 0 = No
- 1 = Yes
- 9 = DK

CODES Section 9: Mortality

M3 When did (NAME) die?

- 1 = during the last month
- 2 = 2-6 months ago
- 3 = 6 months to one year ago
- 4 = one to two years ago

M4 How old was (name) when he/she died? If not sure, give approximate age.

[write down age; approximate age if uncertain]

M5 If AGE > 5 What was the highest level of schooling (NAME) attended?

- 0 = Never attended school
- 1 = Finished school, Standard
- 2 = Finished school, Form
- 3 = Finished school, Higher
- 9 = DK/CR

M6 How many grades (in years) did (NAME) complete at that level?

[enter number of years]
99 = DK

M7 If AGE > 10: What was (NAME)'s marital status when he/she died?

- 1 = Was married
- 2 = Separated
- 3 = Divorced
- 4 = Widowed
- 5 = Never married
- 9 = Don't know

IF MARRIED: Was (name) married to another household or family member mentioned above?

WRITE LINE ID OF SPOUSE FROM PAGES 5-6

M8 Has (NAME) been sick for a long time prior to his/her death?

- 0 = No
- 1 = Yes, for less than a month
- 2 = Yes, for 1 to 3 months
- 3 = Yes, 3 to 6 months
- 4 = Yes, for 6 months or longer

M9: How much was spent by members of this household for hospitalizations, medicine, doctors and traditional healers in the last 12 months prior to (NAME)'s death?

[Give approximate amount]

M10: Do you think that (name) has died of AIDS, or was infected with HIV/AIDS when he/she died?

- 1 = Very likely
- 2 = Likely
- 3 = Not likely
- 4 = Very unlikely

M11: Did you and members of this household contribute to (NAME)'S funeral? If so, what and how much?

- 0 = Did not
- 1 = very much
- 2 = much
- 3 = a little
- 4 = very little

M12: Was the funeral for (name) larger or smaller than that of other persons who recently died in this area?

- 1 = Much larger
- 2 = Larger
- 3 = About the same
- 4 = Smaller
- 5 = Much smaller

M13: Did this person die:

- 1 = Suddenly, due to an accident, injury, or violence?
- 2 = While pregnant?
- 3 = During childbirth?
- 4 = During the six weeks after pregnancy?
- 5 = Other