CODES Section 2: Family and Household Roster

Q2: Relationship to Respondent: What is (NAME)’s relationship to you?
1 = Respondent
2 = Wife/husband
3 = Son/daughter
4 = Father/mother
5 = Grandchild
6 = Grandparent
7 = Mother/father-in-law
8 = Son/daughter-in-law
9 = Brother/sister-in-law
10 = Paternal aunt/uncle
11 = Maternal aunt/uncle
12 = Sister/brother
13 = Cousin
14 = Nephew/niece
15 = Step-child/half-brother/sister
16 = Co-wife
17 = Boyfriend/Girlfriend, including PTM
18 = Other not related through blood or marriage (friends)
19 = Step-mother/step-father
99 = Don’t know

Q3: Is (NAME) male or female?
1 = Male
2 = Female

Q4: Is (NAME) alive? If (NAME) is dead, when did he/she die?
1 = Alive
2 = No, (name) died during the last year
3 = No, (name) died during the last 2 years
4 = No, (name) died during the last 5 years
5 = No, (name) died more than 5 years ago
If (NAME) is dead, strike out Q5 – Q9

Q5: How old is (NAME)? OR, in what year was (NAME) born?
[write age or birth year]
Circle age or birth year
DK = 9999

Q6: Where does (NAME) usually live?
1 = Same household
2 = Same compound
3 = Same village
4 = Same TA
5 = Same district
6 = Lilongwe
7 = Blantyre
8 = Elsewhere

Q7: Did (NAME) sleep here last night?
0 = No
1 = Yes

Q8: When did (NAME) move to this place?
(note: ask only if Q6 = 1 or Q6 = 2)
0 = Since Birth
1 = Last 6 months
2 = Last year
3 = Last 2 years
4 = Last 5 years
5 = More than 5 years ago

Q9: Has (NAME) been ill in the past 12 months?
IF YES: For how long?
0 = No
1 = Yes, for less than a month
2 = Yes, for 1 to 3 months
3 = Yes, 3 to 6 months
4 = Yes for 6 months or longer
9 = Don’t know

Q10: How would you rate (NAME)’s health in general?
Interviewer: read responses
1 = Excellent
2 = Very good
3 = Good
4 = Poor
5 = Very poor
9 = DK

Q11: How would you compare (NAME)’s health to other people in your village who are the same age and sex?
1 = Much better
2 = Better
3 = Same
4 = Worse
5 = Much worse
9 = DK

Q12: IF AGE > 10: What is (NAME)’s current marital status?
1 = Currently married
2 = Separated
3 = Divorced
4 = Widowed
5 = Never married
9 = Don’t know
IF MARRIED: To another household or family member WRITE LINE ID OF SPOUSE, OR WRITE ‘99’ IF SPOUSE DOES NOT LIVE IN HOUSEHOLD

Q13: IF AGE > 5: What is the highest level of schooling (NAME) attended?
0 = Never attended school
1 = Finished school, Standard
2 = Finished school, Form
3 = Finished school, Higher
4 = Still in school, Standard
5 = Still in school, Form
6 = Still in school, Higher
99 = DK/CR

Q14: How many grades (in years) did (NAME) complete at that level?
[enter number of years]
99 = DK/CR

Q15: IF AGE > 15: What is (NAME)’s main way of earning money?
1 = Agricultural worker (incl. animal care, own field
2 = Agricultural wage-labor, for cash or in kind
3 = Salaried employment
4 = Marketing work / sales
5 = Zaluso (handcraft production e.g. basket / mat weaving)
6 = Alcohol production
7 = Carpentry
8 = Other cash activity
9 = Domestic activities
10 = Student
11 = Fishing
66 = Never worked, seeking work
77 = Never worked, not seeking work
88 = Other
99 = Don’t know

Q16: Is this person in “Let’s Chat”?
1 = Yes
0 = No
99 = Don’t know
CODES Section 3: Transfers and Intergenerational Relations

T0: Is (NAME) a child below age 15 that usually lives in this household?
   No…0
   Yes…1

   If yes, strike out the line and do not ask Questions T1-T10

T1: Have you given (NAME) any money or financial assistance? If YES, approximately how much in total?
   0 = No  → GO TO T3
   1 = Yes, a little
   2 = Yes, some
   3 = Yes, a lot
   9 = DK  → GO TO T3

T2: Do you expect that (NAME) will repay you this money?
   0 = No
   1 = Yes
   9 = DK

T3: In the past two years, have you given (NAME) any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming. If YES, how often did you help?
   0 = No  → GO TO T5
   1 = Yes, once
   2 = Yes, several times a year
   3 = Yes, at least once a month
   4 = Yes, at least once a week
   5 = Yes, daily
   9 = DK  → GO TO T5

T4: What type of help did you give?
   1 = Farm production
   2 = Collect firewood
   3 = Collect water
   4 = Cooking
   5 = Building or maintenance
   6 = Caregiving
   7 = Other
   9 = DK

   LIST UP TO TWO

T5: Did you help (NAME) because he/she was in poor health?
   0 = No
   1 = Yes
   9 = DK

T6: Has (NAME) given you any money or financial assistance? If YES, approximately how much in total?
   0 = No  → GO TO T8
   1 = Yes, a little
   2 = Yes, some
   3 = Yes, a lot
   9 = DK  → GO TO T8

T7: Do you expect to repay (NAME)?
   0 = No
   1 = Yes
   9 = DK

T8: In the past two years, has (NAME) given you any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.
   0 = No  → GO TO T10
   1 = Yes, once
   2 = Yes, several times a year
   3 = Yes, at least once a month
   4 = Yes, at least once a week
   5 = Yes, daily
   9 = DK  → GO TO T10

T9: What type of help did (NAME) give you?
   1 = Farm production
   2 = Collect firewood
   3 = Collect water
   4 = Cooking
   5 = Building or maintenance
   6 = Caregiving
   7 = Other
   9 = DK

   LIST UP TO TWO

T10: Did (NAME) help you because you or someone in your household was in poor health?
   0 = No
   1 = Yes
   9 = DK
**CODES Section 4: Investment in Children’s Education**

**D3: Relationship to Respondent:**
What is (NAME)’s relationship to you?
1 = Respondent
2 = Wife/husband
3 = Son/daughter
4 = Father/mother
5 = Grandchild
6 = Grandparent
7 = Mother/father-in-law
8 = Son/daughter-in-law
9 = Brother/sister-in-law
10 = Paternal aunt/uncle
11 = Maternal aunt/uncle
12 = Sister/brother
13 = Cousin
14 = Nephew/niece
15 = Step-child/half-brother/sister
16 = Co-wife
17 = Boyfriend/Girlfriend, including PTM
18 = Other not related through blood or marriage (friends)
19 = Step-mother/step-father
99 = Don’t know

**D4: Where does (NAME) usually stay?**
1 = Same household
2 = Same compound
3 = Same village
4 = Same TA
5 = Same district
6 = Lilongwe
7 = Blantyre
8 = Elsewhere

**D5: Who does (NAME) live with?**
1 = Respondent
2 = Wife/husband
3 = Son/daughter
4 = Father/mother
5 = Grandchild
6 = Grandparent
7 = Mother/father-in-law
8 = Son/daughter-in-law
9 = Brother/sister-in-law
10 = Paternal aunt/uncle
11 = Maternal aunt/uncle
12 = Sister/brother
13 = Cousin
14 = Nephew/niece
15 = Step-child/half-brother/sister
16 = Co-wife
17 = Boyfriend/Girlfriend, including PTM
18 = Other not related through blood or marriage (friends)
19 = Step-mother/step-father
99 = Don’t know

**D6: Why does (NAME) live elsewhere?**
1. To attend school,
2. Parents divorced,
3. Other HH needed help
4. Other HH wealthier
5. Married
6. Other

**D7: Is (NAME) currently in school?**
1 = Yes
0 = No

**D8: Why did (NAME) leave school?**
1 = Lack money
2 = Lack interest
3 = Lack ability
4 = Pregnancy
5 = Ready for marriage/sexually active
6 = Sick
7 = Too far
8 = Other
9 = Never enrolled in school

**D9 & D10: What is the line number of (NAME)’s biological mother and father?**
Interviewer: check the family roster in section 2 for line numbers of biological mother and father.
77 = Dead
88 = Lives elsewhere
99 = DK

**D13: When you are very old do you expect this child to provide you with:**
1 = Monetary help
2 = A place to live
3 = Medical expenses
4 = Food
5 = Other
6 = Nothing
**Codes for Section 5: Economic Shocks**

ES7, ES8, ES9

What did you do in response to this shock to try to deal with this shock?

1. Spent cash savings
2. Send children to live with relatives
3. Sold assets (tools, furniture)
4. Sold farmland
5. Rented out farmland
6. Sold animals
7. Sold more crops
8. Worked longer hours, worked more
   - Other household members who weren't working went to work
9. Started a new business
10. Removed children from school to work
11. Went elsewhere to find work
12. Borrowed money from relatives
13. Borrowed money from money lender
14. Borrowed money from institution (bank, government)
15. Received money from church or congregational group
16. Received help from an NGO
   - Received help from a community group
17. Received help from the government
18. Reduced food consumption
19. Reduced non-food expenditures
20. Spiritual effort - prayer, sacrifices
21. Other relative/friend moved into household
22. Did not do anything
23. Other (specify) __________________
### CODES Section 6: Potential Transfers

#### Roster

<table>
<thead>
<tr>
<th>PT1 Relationship to Respondent:</th>
<th>PT4 How often do you see (NAME)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>What is (NAME)'s relationship to you?</td>
<td>1 = Daily</td>
</tr>
<tr>
<td>2 = Wife/husband</td>
<td>2 = At least once a week</td>
</tr>
<tr>
<td>3 = Son/daughter</td>
<td>3 = At least once a month</td>
</tr>
<tr>
<td>4 = Father/mother</td>
<td>4 = Several times per year</td>
</tr>
<tr>
<td>5 = Grandchild</td>
<td>5 = Once per year</td>
</tr>
<tr>
<td>6 = Grandparent</td>
<td>6 = Not every year</td>
</tr>
<tr>
<td>7 = Mother/father-in-law</td>
<td></td>
</tr>
<tr>
<td>8 = Son/daughter-in-law</td>
<td></td>
</tr>
<tr>
<td>9 = Brother/sister-in-law</td>
<td></td>
</tr>
<tr>
<td>10 = Paternal aunt/uncle</td>
<td></td>
</tr>
<tr>
<td>11 = Maternal aunt/uncle</td>
<td></td>
</tr>
<tr>
<td>12 = Sister/brother</td>
<td></td>
</tr>
<tr>
<td>13 = Cousin</td>
<td></td>
</tr>
<tr>
<td>14 = nephew/niece</td>
<td></td>
</tr>
<tr>
<td>15 = Step-child/half-brother/sister</td>
<td></td>
</tr>
<tr>
<td>16 = Co-wife</td>
<td></td>
</tr>
<tr>
<td>17 = Boyfriend/Girlfriend, including PTM</td>
<td></td>
</tr>
<tr>
<td>18 = Community leader</td>
<td></td>
</tr>
<tr>
<td>19 = Traditional authority</td>
<td></td>
</tr>
<tr>
<td>20 = Church leader</td>
<td></td>
</tr>
<tr>
<td>21 = Other not related through blood or marriage</td>
<td></td>
</tr>
<tr>
<td>99 = Don't know</td>
<td></td>
</tr>
</tbody>
</table>

| PT2 How old is (NAME)? OR, in what year was (NAME) born? | |

<table>
<thead>
<tr>
<th>PT3 Where does (NAME) usually stay?</th>
<th>PT5 How does the wealth of (NAME)'s household compare to your household?</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 = Same household</td>
<td>1 = Wealthier</td>
</tr>
<tr>
<td>2 = Same compound</td>
<td>2 = Less wealthy</td>
</tr>
<tr>
<td>3 = Same village</td>
<td>3 = About the same</td>
</tr>
<tr>
<td>4 = Same TA</td>
<td>9 = Don't know</td>
</tr>
<tr>
<td>5 = Same district</td>
<td></td>
</tr>
<tr>
<td>6 = Lilongwe</td>
<td></td>
</tr>
<tr>
<td>7 = Blantyre</td>
<td></td>
</tr>
<tr>
<td>8 = Elsewhere</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PT6 What is (NAME)'s main way of earning money?</th>
<th>PT7 Does (NAME) attend the same religious congregation you do?</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 = Agricultural worker (incl. animal care), own field</td>
<td>1 = Yes</td>
</tr>
<tr>
<td>2 = Agricultural wage-labor, for cash or in kind</td>
<td>0 = No</td>
</tr>
<tr>
<td>3 = Salaried employment</td>
<td>9 = Don't know</td>
</tr>
<tr>
<td>4 = Marketing work / sales</td>
<td></td>
</tr>
<tr>
<td>5 = Zaluso (handicraft production e.g. basket/ mat weaving)</td>
<td></td>
</tr>
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<td>6 = Alcohol production</td>
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<tr>
<td>7 = Carpentry</td>
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<td>8 = Other cash activity</td>
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<td>9 = Domestic activities</td>
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<td>10 = Student</td>
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<tr>
<td>88 = Other</td>
<td></td>
</tr>
<tr>
<td>99 = Don't know</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PT8 Is this person in Let's Chat?</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1 = Yes</td>
<td>0 = No</td>
</tr>
<tr>
<td>9 = Don't know</td>
<td></td>
</tr>
</tbody>
</table>
CODES Section 7: Actual Transfers
Roster

AT1: Have you given (NAME) any money in the past two years? If YES, approximately how much in total?
0 = No → GO TO AT3
1 = Yes, a little
2 = Yes, some
3 = Yes, a lot
9 = DK → GO TO AT3

AT2: Do you expect that (NAME) will repay you this money?
0 = No
1 = Yes
9 = DK

AT3: In the past two years, have you given (NAME) any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming. If YES, how often did you help (NAME)?
0 = No → GO TO AT5
1 = Yes, once
2 = Yes, several times a year
3 = Yes, at least once a month
4 = Yes, at least once a week
5 = Yes, daily
9 = DK → GO TO AT5

AT4: What type of help did you give?
1 = Farm production
2 = Collect firewood
3 = Collect water
4 = Cooking
5 = Building or maintenance
6 = Caregiving
7 = Other
9 = DK
LIST UP TO TWO

AT5: Did you help (NAME) because he/she was in poor health?
0 = No
1 = Yes
9 = DK

AT6: Has (NAME) given you any money or financial assistance? If YES, approximately how much in total?
0 = No → GO TO AT8
1 = Yes, a little
2 = Yes, some
3 = Yes, a lot
9 = DK → GO TO AT8

AT7: Do you expect to repay (NAME)?
0 = No
1 = Yes
9 = DK

AT8: In the past two years, has (NAME) given you any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.
0 = No → GO TO AT10
1 = Yes, once
2 = Yes, several times a year
3 = Yes, at least once a month
4 = Yes, at least once a week
5 = Yes, daily
9 = DK → GO TO AT10

AT9: What type of help did (NAME) give you?
1 = Farm production
2 = Collect firewood
3 = Collect water
4 = Cooking
5 = Building or maintenance
6 = Caregiving
7 = Other
9 = DK LIST UP TO TWO

AT10: Did (NAME) help you because you or someone in your household was in poor health?
0 = No
1 = Yes
9 = DK

Codes.PA.4.23.08
CODES Section 9: Mortality

M3 When did (NAME) die?
1 = during the last month
2 = 2-6 months ago
3 = 6 months to one year ago
4 = one to two years ago

M4 How old was (name) when he/she died? If not sure, give approximate age.
[write down age; approximate age if uncertain]

M5 If AGE > 5 What was the highest level of schooling (NAME) attended?
0 = Never attended school
1 = Finished school, Standard
2 = Finished school, Form
3 = Finished school, Higher
9 = DK/CR

M6 How many grades (in years) did (NAME) complete at that level?
[enter number of years]
99 = DK

M7 If AGE > 10: What was (NAME)’s marital status when he/she died?
1 = Was married
2 = Separated
3 = Divorced
4 = Widowed
5 = Never married
9 = Don’t know

IF MARRIED: Was (name) married to another household or family member mentioned above?
WRITE LINE ID OF SPOUSE FROM PAGES 5-6

M8 Has (NAME) been sick for a long time prior to his/her death?
0 = No
1 = Yes, for less than a month
2 = Yes, for 1 to 3 months
3 = Yes, 3 to 6 months
4 = Yes, for 6 months or longer

M9: How much was spent by members of this household for hospitalizations, medicine, doctors and traditional healers in the last 12 months prior to (NAME)’s death?
[Give approximate amount]

M10: Do you think that (name) has died of AIDS, or was infected with HIV/AIDS when he/she died?
1 = Very likely
2 = Likely
3 = Not likely
4 = Very unlikely

M11: Did you and members of this household contribute to (NAME)’s funeral? If so, what and how much?
0 = Did not
1 = very much
2 = much
3 = a little
4 = very little

M12: Was the funeral for (name) larger or smaller than that of other persons who recently died in this area?
1 = Much larger
2 = Larger
3 = About the same
4 = Smaller
5 = Much smaller

M13: Did this person die:
1 = Suddenly, due to an accident, injury, or violence?
2 = While pregnant?
3 = During childbirth?
4 = During the six weeks after pregnancy?
5 = Other