

CODES Section 2: Family and Household Roster

**Q2: Relationship to Respondent:
What is (NAME)'s relationship to you?**

- 1 = Respondent
- 2 = Wife/husband
- 3 = Son/daughter
- 4 = Father/mother
- 5 = Grandchild
- 6 = Grandparent
- 7 = Mother/father-in-law
- 8 = Son/daughter-in-law
- 9 = Brother/sister-in-law
- 10 = Paternal aunt/uncle
- 11 = Maternal aunt/uncle
- 12 = Sister/brother
- 13 = Cousin
- 14 = Nephew/niece
- 15 = Step-child/half-brother/sister
- 16 = Co-wife
- 17 = Boyfriend/Girlfriend, including PTM
- 18 = Other not related through blood or marriage (friends)
- 19 = Step-mother/step-father
- 99 = Don't know

Q3: Is (NAME) male or female?

- 1 = Male
- 2 = Female

Q4: Is (NAME) alive? If (NAME) is dead, when did he/she die?

- 1 = Alive
- 2 = No, (name) died during the last year
- 3 = No, (name) died during the last 2 years
- 4 = No, (name) died during the last 5 years
- 5 = No, (name) died more than 5 years ago

If (NAME) is dead, strike out Q5 –

Q16; do not ask Q5 – Q16 for persons who have died.

Q5: How old is (NAME)? OR, in what year was (NAME) born?

[write age or birth year]

Circle age or birth year
DK = 9999

Q6: Where does (NAME) usually live?

- 1 = Same household
- 2 = Same compound
- 3 = Same village
- 4 = Same TA
- 5 = Same district
- 6 = Lilongwe
- 7 = Blantyre
- 8 = Elsewhere

Q7: Did (NAME) sleep here last night?

- 0 = No
- 1 = Yes

Q8: When did (NAME) move to this place?

(note: ask only if Q6= 1 or Q6=2)
0 = Since Birth
1 = Last 6 months
2 = Last year
3 = Last 2 years
4 = Last 5 years
5 = More than 5 years ago

Q9: Has (NAME) been ill in the past 12 months?

IF YES: For how long?

- 0 = No
- 1 = Yes, for less than a month
- 2 = Yes, for 1 to 3 months
- 3 = Yes, 3 to 6 months
- 4 = Yes for 6 months or longer
- 9 = Don't know

Q10: How would you rate (NAME)'s health in general?

Interviewer: read responses

- 1 = Excellent
- 2 = Very good
- 3 = Good
- 4 = Poor
- 5 = Very poor
- 9 = DK

Q11: How would you compare (NAME)'s health to other people in your village who are the same age and sex?

- 1 = Much better
- 2 = Better
- 3 = Same
- 4 = Worse
- 5 = Much worse
- 9 = DK

Q12: IF AGE > 10: What is (NAME)'s current marital status?

- 1 = Currently married
- 2 = Separated
- 3 = Divorced
- 4 = Widowed
- 5 = Never married
- 9 = Don't know

IF MARRIED: To another household or family member
WRITE LINE ID OF SPOUSE, OR
WRITE '99' IF SPOUSE DOES NOT LIVE IN HOUSEHOLD

Q13: IF AGE > 5: What is the highest level of schooling (NAME) completed?

- 0 = Never attended school
- 1 = Finished school, Standard
- 2 = Finished school, Form
- 3 = Finished school, Higher
- 4 = Still in school, Standard
- 5 = Still in school, Form
- 6 = Still in school, Higher
- 99 = DK/CR

Q14: How many grades (in years) did (NAME) complete at that level?

[enter number of years]
99 = DK/CR

Q15: IF AGE > 10: What is (NAME)'s main way of earning money?

- 1 = Agricultural worker (incl. animal care), own field
- 2 = Agricultural wage-labor, for cash or in kind
- 3 = Salaried employment
- 4 = Marketing work / sales
- 5 = Zaluso (handicraft production e.g. basket/ mat weaving)
- 6 = Alcohol production
- 7 = Carpentry
- 8 = Other cash activity
- 9 = Domestic activities
- 10 = Student
- 11 = Fishing
- 66 = Never worked, seeking work
- 77 = Never worked, not seeking work
- 88 = Other
- 99 = Don't know

Q16: When you are elderly, do you expect that you will live with this person?

- NO = 0
- YES = 1

CODES Section 3: Transfers and Intergenerational Relations

T0: Is (NAME) a child below age 15 that usually lives in this household?

No...0
Yes...1

If yes, strike out the line and do not ask Questions T1-T10

T1: Have you given (NAME) any money or financial assistance?

0 = No →GO TO T3
1 = Yes, a little
2 = Yes, some
3 = Yes, a lot
9 = DK →GO TO T3

T2: Do you expect that (NAME) will repay you this money?

0 = No
1 = Yes
9 = DK

T3: In the past two years, have you given (NAME) any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.

If YES, how often did you help (NAME)?

0 = No→GO TO T5
1 = Yes, once
2 = Yes, several times a year
3 = Yes, at least once a month
4 = Yes, at least once a week
5 = Yes, daily
9 = DK →GO TO T5

T4: What type of help did you give?

1 = Farm production
2 = Collect firewood
3 = Collect water
4 = Cooking
5 = Building or maintenance
6 = Caregiving
7 = Other
9 = DK

LIST UP TO TWO

T5: Did you help (NAME) because he/she was in poor health?

0 = No
1 = Yes
9 = DK

T6: Has (NAME) given you any money or financial assistance?

0 = No →GO TO T8
1 = Yes, a little
2 = Yes, some
3 = Yes, a lot
9 = DK →GO TO T8

T7: Do you expect to repay (NAME)?

0 = No
1 = Yes
9 = DK

T8: In the past two years, has (NAME) given you any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.

0 = No →GO TO T10
1 = Yes, once
2 = Yes, several times a year
3 = Yes, at least once a month
4 = Yes, at least once a week
5 = Yes, daily
9 = DK →GO TO T10

T9: What type of help did (NAME) give you?

1 = Farm production
2 = Collect firewood
3 = Collect water
4 = Cooking
5 = Building or maintenance
6 = Caregiving
7 = Other
9 = DK

LIST UP TO TWO

T10: Did (NAME) help you because you or someone in your household was in poor health?

0 = No
1 = Yes
9 = DK

T11: Do any of your non-resident children live with this person?

No...0
If Yes
Line number of child

CODES Section 4: Investment in Children's Education

- D5: Who does (NAME) live with?**
1 = Respondent
2 = Wife/husband
3 = Son/daughter
4 = Father/mother
5 = Grandchild
6 = Grandparent
7 = Mother/father-in-law
8 = Son/daughter-in-law
9 = Brother/sister-in-law
10 = Paternal aunt/uncle
11 = Maternal aunt/uncle
12 = Sister/brother
13 = Cousin
14 = Nephew/niece
15 = Step-child/half-brother/sister
16 = Co-wife
17 = Boyfriend/Girlfriend, including PTM
18 = Other not related through blood or marriage (friends)
19 = Step-mother/step-father
99 = Don't know
- D6: Why does (NAME) live elsewhere?**
1 To attend school,
2 Parents divorced,
3 Other HH needed help
4 Other HH wealthier
5 Married
6 Other
- D7: Is (NAME) currently in school?**
1=Yes
0=No
- D7a If D7 is "yes"
How many total days did this child miss in the last completed school term?**
- D8: Why did (NAME) leave school?**
1=Lack money
2=Lack interest

- 3=Lack ability
4=Pregnancy
5=Ready for marriage/sexually active
6= Sick
7= Too far
8=Other
9 = Never enrolled in school

D9 & D10 What is the line number of (NAME)'s biological mother and father?

Interviewer: check the family roster in section 2 for line numbers of biological mother and father.

- 77=Dead
88=Lives elsewhere
99=DK

D11 How much did you and/or other HH members pay in school fees and school supplies for (NAME) in the past 12 months?

D12 Did anyone else provide assistance to help pay for (NAME's) school fees or supplies in the last 12 months? If so, how much did they provide?

D13 When you are very old do you expect this child to provide you with:

- 1= Monetary help
2= A place to live
3= Medical expenses
4= Food
5= Other
6= Nothing

D14: Rank the children below in order of who you expect will provide you with the most money when you are elderly

D15: Rank the children below in order of who you expect will provide you with the most help when you are elderly

CODES Section 6: Potential Transfers
Roster

**PT1 Relationship to Respondent:
What is (NAME)'s relationship
to you?**

- 2 = Wife/husband
- 3 = Son/daughter
- 4 = Father/mother
- 5 = Grandchild
- 6 = Grandparent
- 7 = Mother/father-in-law
- 8 = Son/daughter-in-law
- 9 = Brother/sister-in-law
- 10 = Paternal aunt/uncle
- 11 = Maternal aunt/uncle
- 12 = Sister/brother
- 13 = Cousin
- 14 = nephew/niece
- 15 = Step-child/half-brother/sister
- 16 = Co-wife
- 17 = Boyfriend/Girlfriend, including
PTM
- 18 = Community leader
- 19 = Traditional authority
- 20 = Church leader
- 21 = Other not related through
blood or marriage
- 99 = Don't know

**PT2 How old is (NAME)? OR, in
what year was (NAME) born?**

**PT3 Where does (NAME) usually
stay?**

- 1 = Same household
- 2 = Same compound
- 3 = Same village
- 4 = Same TA
- 5 = Same district
- 6 = Lilongwe
- 7 = Blantyre
- 8 = Elsewhere

PT4 How often do you see (NAME)?

- 1 = Daily
- 2 = At least once a week
- 3 = At least once a month
- 4 = Several times per year
- 5 = Once per year
- 6 = Not every year

**PT5 How does the wealth of
(NAME's) household compare
to your household?**

- 1 = Wealthier
- 2 = Less wealthy
- 3 = About the same
- 9 = Don't know

**PT6 What is (NAME)'s main way of
earning money?**

- 1 = Agricultural worker (incl. animal
care), own field
- 2 = Agricultural wage-labor, for cash
or in kind
- 3 = Salaried employment
- 4 = Marketing work / sales
- 5 = Zaluso (handicraft production
e.g. basket/ mat weaving)
- 6 = Alcohol production
- 7 = Carpentry
- 8 = Other cash activity
- 9 = Domestic activities
- 10 = Student
- 11 = Fishing
- 66 = Never worked, seeking work
- 77 = Never worked, not seeking
work
- 88 = Other
- 99 = Don't know

**PT7 Does (NAME) attend the same
religious congregation you do?**

- 1 = Yes
- 0 = No
- 9 = Don't know

**PT9 Do any of your non-resident
children live with this
person?**

- No...0**
- If Yes**
- Line number of child**

CODES Section 7: Actual Transfers
Roster

AT1: Have you given (NAME) any money in the past two years?

- 0 = No →GO TO AT3
- 1 = Yes, a little
- 2 = Yes, some
- 3 = Yes, a lot
- 9 = DK →GO TO AT3

AT2: Do you expect that (NAME) will repay you this money?

- 0 = No
- 1 = Yes
- 9 = DK

AT3: In the past two years, have you given (NAME) any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming. If YES, how often did you help (NAME)?

- 0 = No→GO TO AT5
- 1 = Yes, once
- 2 = Yes, several times a year
- 3 = Yes, at least once a month
- 4 = Yes, at least once a week
- 5 = Yes, daily
- 9 = DK →GO TO AT5

AT4: What type of help did you give?

- 1 = Farm production
- 2 = Collect firewood
- 3 = Collect water
- 4 = Cooking
- 5 = Building or maintenance
- 6 = Caregiving
- 7 = Other
- 9 = DK

LIST UP TO TWO

AT5: Did you help (NAME) because he/she was in poor health?

- 0 = No
- 1 = Yes
- 9 = DK

AT6: Has (NAME) given you any money or financial assistance?

- 0 = No →GO TO AT8
- 1 = Yes, a little
- 2 = Yes, some
- 3 = Yes, a lot
- 9 = DK →GO TO AT8

AT7: Do you expect to repay (NAME)?

- 0 = No
- 1 = Yes
- 9 = DK

AT8: In the past two years, has (NAME) given you any non-financial help? This could include help that takes time like collecting firewood, cooking, taking care of people, or helping with farming.

- 0 = No →GO TO AT10
- 1 = Yes, once
- 2 = Yes, several times a year
- 3 = Yes, at least once a month
- 4 = Yes, at least once a week
- 5 = Yes, daily
- 9 = DK →GO TO AT10

AT9: What type of help did (NAME) give you?

- 1 = Farm production
- 2 = Collect firewood
- 3 = Collect water
- 4 = Cooking
- 5 = Building or maintenance
- 6 = Caregiving
- 7 = Other
- 9 = DK

LIST UP TO TWO

AT10: Did (NAME) help you because you or someone in your household was in poor health?

- 0 = No
- 1 = Yes
- 9 = DK

CODES Section 9: Mortality

M3 When did (NAME) die?

- 1 = during the last month
- 2 = 2-6 months ago
- 3 = 6 months to one year ago
- 4 = one to two years ago

M4 How old was (name) when he/she died? If not sure, give approximate age.

[write down age; approximate age if uncertain]

M5 If AGE > 5 What was the highest level of schooling (NAME) attended?

- 0 = Never attended school
- 1 = Finished school, Standard
- 2 = Finished school, Form
- 3 = Finished school, Higher
- 9 = DK/CR

M6 How many grades (in years) did (NAME) complete at that level?

[enter number of years]
99 = DK

M7 If AGE > 10: What was (NAME)'s marital status when he/she died?

- 1 = Was married
- 2 = Separated
- 3 = Divorced
- 4 = Widowed
- 5 = Never married
- 9 = Don't know

IF MARRIED: Was (name) married to another household or family member mentioned above?

WRITE LINE ID OF SPOUSE FROM PAGES 5-6

M8 Has (NAME) been sick prior to his/her death?

- 0 = No
- 1 = Yes, for less than a month
- 2 = Yes, for 1 to 3 months
- 3 = Yes, 3 to 6 months
- 4 = Yes, for 6 months or longer

M9: How much was spent by members of this household for hospitalizations, medicine, doctors and traditional healers in the last 12 months prior to (NAME)'s death?

[Give approximate amount]

M10: Do you think that (name) has died of AIDS, or was infected with HIV/AIDS when he/she died?

- 1 = Very likely
- 2 = Likely
- 3 = Not likely
- 4 = Very unlikely

M11: Did you and members of this household contribute to (NAME)'S funeral? If so, what and how much?

- 0 = Did not
- 1 = very much
- 2 = much
- 3 = a little
- 4 = very little

M12: Was the funeral for (name) larger or smaller than that of other persons who recently died in this area?

- 1 = Much larger
- 2 = Larger
- 3 = About the same
- 4 = Smaller
- 5 = Much smaller